

IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF NORTH CAROLINA  
Case No.

\_\_\_\_\_  
Plaintiff(s),  
v.  
\_\_\_\_\_  
Defendant(s).

**DISCLOSURE OF CORPORATE AFFILIATIONS AND OTHER ENTITIES WITH A  
DIRECT FINANCIAL INTEREST IN LITIGATION AND ATTRIBUTED CITIZENSHIP**

Pursuant to Fed. R. Civ. P. 7.1 and Local Civil Rule 7.3, or Fed. R. Crim. P. 12.4 and Local Criminal Rule 12.3,

\_\_\_\_\_ who is \_\_\_\_\_,  
(name of party/intervenor) (plaintiff/defendant/other: \_\_\_\_\_)

makes the following disclosure:

1. Is the party/intervenor a publicly held corporation or other publicly held entity?

YES

NO

2. Does the party/intervenor have any parent corporations?

YES

NO

If yes, identify all parent corporations, including grandparent and great-grandparent corporations:

3. Is 10% or more of the stock of the party/intervenor owned by a publicly held corporation or other publicly held entity?

YES

NO

If yes, identify all such owners:

4. Is there any other publicly held corporation or other publicly held entity that has a direct financial interest in the outcome of the litigation (Local Civil Rule 7.3 or Local Criminal 12.3)?

YES

NO

5. Is the party/intervenor a trade association?

YES

NO

If yes, identify all members of the association, their parent corporations, and any publicly held companies that own 10% or more of a member's stock:

6. If the case arises out of a bankruptcy proceeding, identify any trustee and the members of any creditor's committee:

7. In a case based on diversity jurisdiction, the following is a list of every individual or entity whose citizenship is attributed to the party/intervenor:

\_\_\_\_\_  
(Name of individual/entity)

\_\_\_\_\_  
(State of citizenship)

\_\_\_\_\_  
(Name of individual/entity)

\_\_\_\_\_  
(State of citizenship)

\_\_\_\_\_  
(Name of individual/entity)

\_\_\_\_\_  
(State of citizenship)

\_\_\_\_\_  
(Name of individual/entity)

\_\_\_\_\_  
(State of citizenship)

\_\_\_\_\_  
(Name of individual/entity)

\_\_\_\_\_  
(State of citizenship)

\_\_\_\_\_  
(Name of individual/entity)

\_\_\_\_\_  
(State of citizenship)

If there are additional individuals or entities whose citizenship is attributed to the party/intervenor, please provide their names and states of citizenship on a separate piece of paper.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_